

DOCKET NO. GOLOWICH 10-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Steven E. Golowich, et al.

Serial No.: N/A

Filed: Herewith

For: SYSTEM AND METHOD FOR DETERMINING PROPAGATION
CHARACTERISTICS OF PHOTONIC STRUCTURES

Group No.: N/A

Examiner: N/A

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of
Patent Examining Procedure. The patents, publications and other information herein are listed below
and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

<u>Foreign Patent No.</u>	<u>Country</u>	<u>Date</u>
WO 00/65386	PCT/WO	2 November 2000
WO 00/22466	PCT/WO	20 April 2000

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Respectfully submitted,

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Substitute for form 1449A/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>				Complete if Known	
				Application Number	N/A
				Filing Date	Herewith
				First Named Inventor	Steven E. Golowich, et al.
				Art Unit	N/A
				Examiner Name	N/A
				Attorney Docket Number	LUCT-125176
Sheet	1	of	1		

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 00/65386	11/02/2000	Massachusetts Institute of Technologies		
		WO 00/22466	04/20/2000	Massachusetts Institute of Technologies		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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